



- 1 -

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application: Jan Stallaert et al.

Serial No.: 09/067,640

Filed: April 27, 1998

Art Unit: 2164

Examiner: Geoffrey Akers

For: APPARATUS FOR TRADING OF BUNDLED ASSETS INCLUDING
BUNDLE SUBSTITUTION AND METHOD THEREFOR

AMENDMENT TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, D. C. 20231


Sir:

Applicants transmit herewith an Amendment for the above-identified application.

The fee has been calculated as shown below:

CERTIFICATION UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence (along with any item referred to as being enclosed herewith) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on April 27, 2001.



Signature

Serena Beller

(Printed name of person certifying)

| CLAIMS AS AMENDED | | | | | | |
|--|---|---|---|------------------|-------------------------|-------------------|
| | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT EXTRA | SMALL ENTITY RATE | ADDITIONAL FEE |
| Total Claims: | 47 | - | 40 | 0 | x \$9 = | \$ - 0 - |
| Independent Claims: | 4 | - | 4 | 0 | x \$40 = | \$ - 0 - |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT = | | | | | | \$ - 0 - |

☒ No additional fee is required.

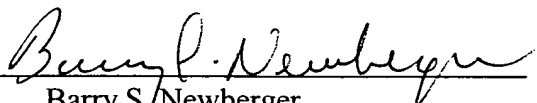
☐ A check is enclosed for \$ 0.

If the fee has been calculated incorrectly, the Assistant Commissioner is hereby authorized to charge any fee necessary for additional claims to Deposit Account No. 23-2426 (18608-P001C1) In the name of Winstead Sechrest & Minick P.C. **A duplicate copy of this transmittal letter is enclosed.**

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.

Attorney for Applicants

By: 
Barry S. Newberger
Reg. No. 41,527

5400 Renaissance Tower
1201 Elm Street
Dallas, Texas 75270-2199
(512) 370-2851

::ODMA\PCDOCS\AUSTIN_1\163555\1
368:18608-P001C1

- 1 -

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: : Before the Examiner:
Jan Stallaert et al. : Geoffrey Akers

Serial No.: 09/067,640 : Group Art Unit: 2164

Filed: April 27, 1998

Title: APPARATUS FOR TRADING OF BUNDLED ASSETS INCLUDING
BUNDLE SUBSTITUTION AND METHOD THEREFOR

AMENDMENT


Assistant Commissioner for Patents
Washington, D. C. 20231

Dear Sir:

Per a teleconference on April 11, 2001 between Applicants' attorney and the Examiner please amend the above referenced Application as indicated hereinbelow. (A clean copy of the amended claims are also attached herewith as an Appendix.) The amendments filed herewith are not narrowing amendments made for the purpose of patentability but are made to expedite the prosecution of the Application. Any claim cancellations necessitated by the following amendments are made without disclaimer or prejudice.

CERTIFICATION UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence (along with any item referred to as being enclosed herewith) is being faxed to Examiner Geoffrey Akers at (703) 308-9051 (or if busy, alternate (703) 308-9052) to Assistant Commissioner for Patents, Washington, D.C. 20231, on April 27, 2001.


Signature

Serena Beller
(Printed name of person certifying)